

# Quantization and Factorization Algebras

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## Lecture 1: Geometry of QFT

1. Quantum field theory and Renormalization
2. Observables and Factorization algebras
3. Examples: a first geometric look

# 1. Quantum field theory and Renormalization

“Anyone who is not shocked by quantum theory has not understood it.”—Niels Bohr



“I think I can safely say that nobody understands quantum mechanics.”—Richard Feynman



Quantum field theory deals with “ $\infty$ -dimensional geometry”, which lies behind many of its nontrivial consequences and predictions.

Typically (but not always) a physics system is described by a map

$$S : \mathcal{E} \rightarrow \mathbb{R}.$$

- ▶  $\mathcal{E}$ : *space of fields*.
- ▶  $S$ : *action functional*.

## Typical examples

- ▶ Scalar field theory

$$\mathcal{E} = C^\infty(X)$$

- ▶ Gauge theory

$$\mathcal{E} = \{\text{connections on } V \rightarrow X\}$$

- ▶  $\sigma$ -model

$$\mathcal{E} = \text{Map}(\Sigma, X)$$

- ▶ Gravity

$$\mathcal{E} = \{\text{metrics on } X\}$$

# Path integral

- ▶ Classical physics is described by the critical locus (**equation of motion**, eg: Laplace equation, Yang-Mills equation, etc)

$$\text{Crit}(S) = \{\delta S = 0\}.$$

- ▶ One standard approach to Quantum physics is described by Feynman's "**path integral**"

$$\langle \mathcal{O} \rangle := \int_{\mathcal{E}} \mathcal{O} e^{S/\hbar}$$

$\mathcal{O}$ : **quantum observable**.  $\langle \mathcal{O} \rangle$ : **correlation function**.

- ▶ Mathematical challenge for such  **$\infty$ -dim** integral.
- ▶ Asymptotic analysis leads to - **renormalization theory**.

We are mainly interested in “integrals”

$$\int f$$

We rarely compute integrals by definition (Riemann/Lebesgue).  
Instead, we use [symmetries](#) and [differential equations](#).



# Gaussian integral

Gaussian integral

$$\int_{\mathbb{R}} \frac{dx}{\sqrt{2\pi}} e^{-\frac{1}{2}x^2} = 1$$

or more generally

$$\int_{\mathbb{R}^n} \prod_i \frac{dx^i}{\sqrt{2\pi}} e^{-\frac{1}{2}A(x)} = \frac{1}{\sqrt{\det A}}, \quad \text{where} \quad A(x) = \sum_{i,j} A_{ij}x^i x^j$$

$A = (A_{ij})$  is a positive definite matrix.

## Feynman diagram expansion

$$\int_{\mathbb{R}^n} \prod_{i=1}^n \frac{dx^i}{\sqrt{2\pi}} e^{-\frac{1}{2}A(x)+I(x)} \sim \frac{1}{\sqrt{\det(A)}} \exp \left( \sum_{\Gamma:\text{conn}} \frac{W_{\Gamma}}{|Aut(\Gamma)|} \right)$$

$$W_{\Gamma} : \quad \text{I} \left( \begin{array}{c} \text{---} (A^{-1})^{i_1 j_1} \text{---} \\ \text{---} (A^{-1})^{i_2 j_2} \text{---} \\ \text{---} (A^{-1})^{i_3 j_3} \text{---} \end{array} \right) \text{I}$$

Combinatorial formula via the **inverse matrix**  $A^{-1}$  and  $I$ .

$A^{-1}$  : **propagator**

In quantum field theory, we can use Feynman's formula to model the  $\infty$ -dim integral asymptotically.

Example ( $\phi^4$ -theory)

$$\int_{\mathcal{E}=\mathcal{C}^\infty(X)} [D\phi] e^{-\frac{1}{\hbar}S[\phi]}, \quad S[\phi] = \frac{1}{2} \int_X \phi \Delta \phi + \lambda \int_X \phi^4.$$

where  $\Delta$  is the Laplacian operator. The inverse  $\Delta^{-1}$  is

$$\text{Green's function} \quad G(x, y) \sim \Delta^{-1}$$

The index  $i, j$  is replaced by points  $x, y$  on  $X$ .

The Green's function is singular along the diagonal

$$G(x, y) \sim \frac{1}{|x - y|^{d-2}}, \quad x \rightarrow y.$$

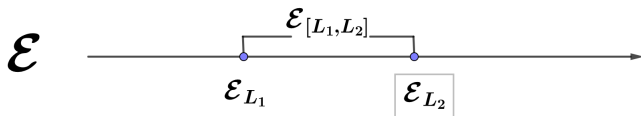
In Feynman diagrams, we will encounter integrals where we multiply many  $G$ 's together. They are **divergent** in general!

This is called the **UV divergence** in QFT, due to the nature of  **$\infty$ -many degrees of freedom**.

# Renormalization group flow

Basic idea of renormalization (we use Wilson's viewpoint): we set a **scale** and **cut** the full degrees of freedom

$$\mathcal{E} = \bigcup \mathcal{E}_L, \quad \mathcal{E}_{L_2} = \mathcal{E}_{L_1} \oplus \mathcal{E}_{[L_1, L_2]}.$$



On each  $\mathcal{E}_L$ , we have an effective action  $S_L$ . They are related by

$$e^{\frac{i}{\hbar} S_{L_1}} = \int_{\mathcal{E}_{[L_1, L_2]}} e^{\frac{i}{\hbar} S_{L_2}}.$$

Renormalization group flow.

There are many ways we can cut:

- ▶ Momentum cut
- ▶ Distance cut
- ▶ Energy/ Eigenvalue cut
- ▶ ...

To construct such  $S_L$ , we can have

- ▶ Scale dependence of the coupling constants
- ▶ Running under renormalization group flow
- ▶ Renormalizable theories: perturbation computation
- ▶ ...

# Some examples of renormalization method in QFT

## **Bogoliubov-Parasiuk-Hepp-Zimmermann** (BPHZ) approach

- ▶ A scheme for subtracting UV divergence in Feynman integral
- ▶ Locality of subtractions (divergent counter-terms).
- ▶ Normalization conditions (finite counter-terms).

**Connes-Kreimer:** BPHZ Renormalization as a Birkhoff decomposition over the dual Hopf algebra of Feynman graphs.

**Costello:** Homotopic renormalization in perturbative BV formalism. Basic idea: homological interpretation of integral

$$\int \implies \text{Homology}$$

Renormalization group flow: **chain homotopy** (in BV formalism).

$$e^{\frac{i}{\hbar} S_{L_1}} = \int_{\mathcal{E}_{[L_1, L_2]}} e^{\frac{i}{\hbar} S_{L_2}}.$$



## 2. Observables and Factorization algebras

## Why QFT has rich structures?

Spacetime :  $X \implies$  Fields :  $\mathcal{E} = \Gamma(X, E)$ .

- ▶  $\mathcal{E}$  is the space (called **fields**) where we will do calculus  $\int_{\mathcal{E}}$ .
- ▶ Topology of  $X$  leads to new structures in  $\infty$ -dim geometry

When  $X = \text{point}$ ,  $\mathcal{E} = \mathbb{R}^n$ . We arrive at the usual calculus.

Calculus = 0-dim QFT.

When  $\dim X > 0$ , the geometry and topology of  $X$  come in!

One algebraic structure associated to the topology of  $X$  is

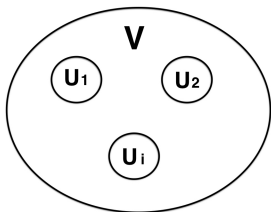
observables=functions on fields

Given an open subset  $U \subset X$ , we can talk about

$Obs(U)$  = observables supported in  $U$

Example:  $\delta$ -function.

Observables form an algebraic structure as follows: given disjoint open subset  $U_i$  contained in an open  $V$ :  $\coprod_i U_i \subset V$



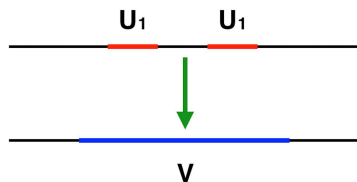
we have a factorization product for observables

$$\bigotimes_i Obs(U_i) \rightarrow Obs(V).$$

- ▶ Physics: OPE (**operator product expansion**)
- ▶ Mathematics: **factorization algebra**.
  - ▶ Origin: **Beilinson-Drinfeld** in 2d CFT
  - ▶ **Costello-Gwilliam**: (perturbative renormalized) QFT.

## Example: $\dim X = 1$ (topological quantum mechanics)

QFT in  $\dim = 1$  is quantum mechanics.



In the topological case, for any contractible open  $U$ ,  $Obs(U) = A$ .  
The factorization product doesn't depend on the location and size:

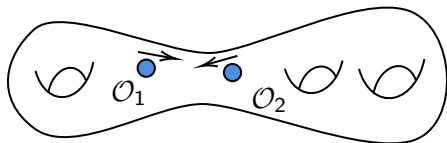
$$A \otimes A \rightarrow A.$$

We find an (homotopy) **associative algebra**.

## Example: $\dim X = 2$ (chiral conformal field theory)

The factorization product of 2d chiral theory is **holomorphic**.

$$\mathcal{O}_1(z)\mathcal{O}_2(w) \sim \sum_n \frac{\mathcal{O}_{1(n)}\mathcal{O}_2(w)}{(z-w)^{n+1}}$$



which is the 2d analogue of “associative product”. We find  **$\infty$ -many** binary operations  $\mathcal{O}_{1(n)} \cdot \mathcal{O}_2$  !

In this case, **observable algebra forms a vertex algebra**.

An important class of quantities are **correlation functions** of observables. They capture “**global**” information of the theory.

► **Local correlation**

$$\langle \mathcal{O}_1(x_1) \cdots \mathcal{O}_i(x_i) \cdots \mathcal{O}_n(x_n) \rangle, \quad x_i \in X.$$

It is singular when points collide, hence a function on

$$\text{Conf}_n(X) := \{x_1, \dots, x_n \in X \mid x_i \neq x_j \text{ for } i \neq j\}.$$

► **Non-local correlation**

$$\int_{\mathcal{Z} \subset \text{Conf}_n(X)} \langle \mathcal{O}_1(x_1) \cdots \mathcal{O}_i(x_i) \cdots \mathcal{O}_n(x_n) \rangle$$

which might be divergent and require further renormalization.

### 3. Examples: a first geometric look



## Example: abelian Chern-Simons and Linking

We consider the abelian Chern-Simons theory on  $S^3$ .

$$CS[A] = \frac{1}{2} \int_{S^3} A \wedge dA, \quad A : \text{1-form on } S^3$$

Let  $C, C'$  be two disjoint circles inside  $S^3$ . Consider

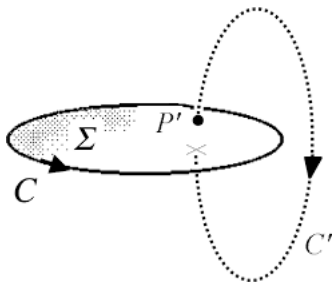
$$\left\langle \int_C A \int_{C'} A \right\rangle = \int [DA] e^{iCS[A]} \left( \int_C A \right) \left( \int_{C'} A \right)$$

The propagator is  $d^{-1}$ .

In a suitable interpretation (gauge), this correlation function is

$$\int_C d^{-1}([C]) = \text{fill } C \text{ by a disk } \Sigma \text{ and intersect with } C'$$

= Linking number of  $C$  and  $C'$ .



## Example: Iterated integral and quantum mechanics

Let  $LX = \text{Map}(S^1, X)$  free loop space of  $X$ . Consider

$$\begin{array}{ccc} \text{Conf}_n(S^1) \times LX & \xrightarrow{\text{ev}} & X^n \\ \pi \downarrow & & \\ LX & & \end{array}$$

where  $\text{ev}$  sends  $(p_1, \dots, p_n) \times \gamma \rightarrow (\gamma(p_1), \dots, \gamma(p_n))$ . Then

$$\pi_* \text{ev}^* = \int_{\text{Conf}_n(S^1)} \text{ev}^* (-) : (\Omega(X))^{\otimes n} \rightarrow \Omega(LX)$$

defines a quasi-isomorphism **[K.T. Chen]**

$$\text{Hochschild}(\Omega(X)) \rightarrow \Omega(LX).$$

This can be viewed as correlation functions in quantum mechanical model. It will lead to [Index theorem](#) as we will show.

## Example: $\sigma$ -model and Geometry enhanced by QFT

One main object in geometry and topology is the vector bundle

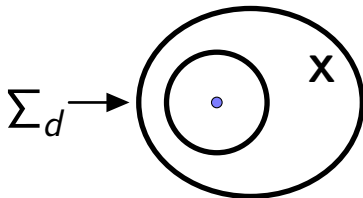


$$\begin{array}{c} E \\ \downarrow \\ X \end{array}$$

This is fibered by  $\mathbb{R}^n$ , which can be viewed as 0-dim QFT.

$$\begin{array}{c} QFT_0 \\ \downarrow \\ X \end{array}$$

In general, a QFT of  $\sigma$ -model  $\Sigma_d \rightarrow X$

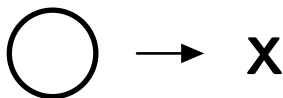


will produce a geometry of

$$\begin{array}{c} QFT_d \\ \downarrow \\ X \end{array}$$

We get a large class of new geometries **enhanced** by QFT.

## Example: topological quantum mechanics



They glue [**Fedosov**] on  $X$  to give a bundle of Weyl algebras

$$\begin{array}{c} \mathcal{W}(X) \\ \downarrow \\ X \end{array}$$

- ▶ [**Grady-Li-L, Gui-L-Xu**]: Quantization of TQM. Correlation function of non-local observables  $\int_{\text{Conf}(S^1)}$  gives

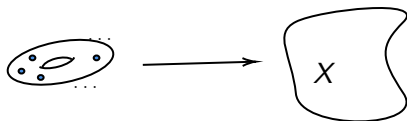
$$\langle 1 \rangle = \int_X e^{\omega_{\hbar}/\hbar} \hat{A}(X).$$

This is the simplest version of [algebraic index theorem](#) which was first formulated by **Fedosov** and **Nest-Tsygan** as the algebraic analogue of [Atiyah-Singer index theorem](#).

## Example: 2d Chiral CFT

A chiral  $\sigma$ -model

$$\varphi : E \rightarrow X$$



will produce a bundle  $\mathcal{V}(X)$  of chiral vertex algebras

$$\begin{array}{c} \mathcal{V}(X) \\ \downarrow \\ X \end{array}$$

The quantization/renormalization leads to a flat gluing  $[\mathbf{L}]$ .

Correlation function of non-local observables

$$\int_{E^n} \langle \mathcal{O}_1(z_1) \cdots \mathcal{O}_n(z_n) \rangle.$$

$\langle \mathcal{O}_1(z_1) \cdots \mathcal{O}_n(z_n) \rangle$  is very singular along diagonals and this integral requires renormalization.

- ▶ Geometric renormalization by regularized integrals [**L-Zhou**].
- ▶ Elliptic chiral algebraic index.



# Algebraic Index vs Elliptic Chiral Index

1d TQM	2d Chiral CFT
Associative algebra	Vertex operator algebra
Hochschild homology	Chiral homology
QME: $(\hbar\Delta + b)\langle - \rangle_{1d} = 0$	QME: $(\hbar\Delta + d_{ch})\langle - \rangle_{2d} = 0$
n-point correlator: $\langle \mathcal{O}_1 \otimes \cdots \otimes \mathcal{O}_n \rangle_{1d}$ = integrals on the compactified configuration spaces of $S^1$	n-point correlator: $\langle \mathcal{O}_1 \otimes \cdots \otimes \mathcal{O}_n \rangle_{2d}$ = regularized integrals of singular forms on $\Sigma^n$
Algebraic Index theory	Elliptic Chiral Algebraic Index

Joint with **Zhengping Gui**.

## Example: $\dim X = 4$ (holomorphic theory)

We consider 4d holomorphic theory on  $X = \mathbb{C}^2$ . The algebraic structures that lie behind the factorization products will contain

$$H_{\bar{\partial}}^{\bullet}(\mathbb{C}^2 - \{0\}) = H_{\bar{\partial}}^0 \oplus H_{\bar{\partial}}^1.$$

By Hartogs's extension theorem,  $H_{\bar{\partial}}^0 = \mathbb{C}[z_1, z_2]$  while

$$H_{\bar{\partial}}^1 = \mathbb{C} \left[ \frac{\partial}{\partial z_1}, \frac{\partial}{\partial z_2} \right].$$

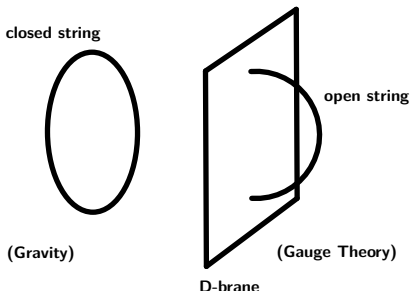
So it will predict degree one OPEs indexed by  $H_{\bar{\partial}}^1$ .

What are they in physics?



## Example: Gauge/Gravity duality

Gauge theory at large  $N \implies$  Dynamics of Gravity



[**Costello-L**]: In topological strings: Quantization theory for

- ▶ Twisted supergravity (twist by SUSY ghost)
- ▶ Open-closed string field theory in the large  $N$ .

## Lecture 2: Quantization and Index

1. Localization and Index theorem
2. Batalin-Vilkovisky (BV) Quantization formalism
3. Example: Topological Quantum Mechanics (TQM)
4. Example: Chiral deformation of 2d Conformal Field Theory

## 1: Localization and Index theorem

# The Marriage with SUSY

Infinite dim geometry

Finite dim geometry



*QFT*

*Math*

Typically, one starts with a path integral in quantum field theory

$$\int_{\mathcal{E}} e^{iS/\hbar}$$

In good situations (e.g. when supersymmetry exists), the **ill-defined** path integral is localized to a **well-defined** integral

$$\int_{\mathcal{E}} e^{iS/\hbar} = \int_{\mathcal{M}} (-)$$

over a finite dim  $\mathcal{M} \subset \mathcal{E}$ .  $\mathcal{M}$  is some interesting **moduli space**.

## Example: Topological quantum mechanics (TQM)

TQM leads to a path integral on the loop space

$$\int_{\text{Map}(S^1, X)} e^{-S/\hbar} \xrightarrow{\hbar \rightarrow 0} \int_X (\text{curvatures})$$

Topological nature implies the **exact semi-classical limit**  $\hbar \rightarrow 0$ , which localizes the path integral to constant loops.

- ▶ LHS= the **analytic index** expressed in physics
- ▶ RHS= the **topological index**.

This is the physics “derivation” of **Atiyah-Singer Index Theorem**.



## Example: Witten's "Index Theorem" on loop space

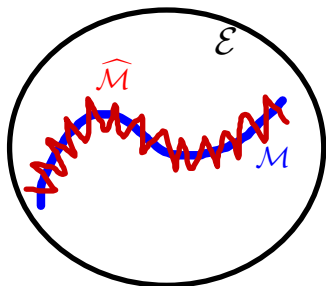
Replace  $S^1$  by an elliptic curve  $E$ :

$$\int_{\text{Map}(E, X)} e^{-S/\hbar} \xrightarrow{\hbar \rightarrow 0}$$

Intuitively, if we view

$$\text{Map}(E, X) = \text{Map}(S^1, LX)$$

as defining a quantum mechanics on  $LX$ , then this leads to **Witten's** proposal for index of dirac operators on [loop space](#).



Let  $\widehat{\mathcal{M}}$  be the formal neighborhood of  $\mathcal{M}$  inside  $\mathcal{E}$ . Then intuitively

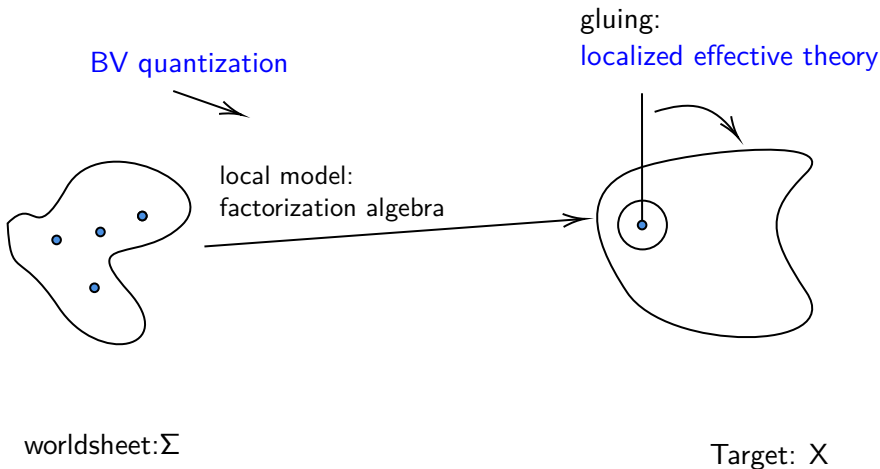
$$\int_{\mathcal{E}} e^{iS/\hbar} = \int_{\widehat{\mathcal{M}}} e^{iS^{eff}/\hbar} = \int_{\mathcal{M}} (-).$$

The pair  $(\widehat{\mathcal{M}}, S^{eff})$  will be called the **localized effective theory**, which usually have an exact geometric description.

**Ref:** [Gui-L-Xu, 2020] *Geometry of Localized Effective Theories, Exact Semi-classical Approximation and the Algebraic Index.*

We will be interested in  $\sigma$ -models about the mapping space

$$\varphi : \Sigma \rightarrow X$$



## Example: Deformation quantization and algebraic index

Let  $(X, \omega)$  be a symplectic manifold.  $C^\infty(X)$  is a Poisson algebra

$$\{f, g\} = \sum_{i,j} \omega^{ij}(\partial_i f)(\partial_j g).$$

A **deformation quantization** is defined to be an  $\hbar$ -linear associative product  $\star$  (usually called the star product) on  $C^\infty(X)[[\hbar]]$  satisfying

- ▶ **Locality:**  $\star$  is represented by bi-differential operators
- ▶ **Classical limit:**  $\forall f, g \in C^\infty(X)$

$$f \star g = fg + O(\hbar)$$

- ▶ **1st-order noncommutativity:**  $\forall f, g \in C^\infty(X)$

$$\frac{1}{\hbar}(f \star g - g \star f) = \{f, g\} + O(\hbar).$$

Given a deformation quantization, there exists a unique linear map

$$\mathrm{Tr} : C^\infty(X)[[\hbar]] \rightarrow \mathbb{R}((\hbar))$$

satisfying

- ▶ Trace property:  $\mathrm{Tr}(f \star g) = \mathrm{Tr}(g \star f)$
- ▶ Normalization condition.

The **Algebraic Index Theorem** [**Fedosov, Nest-Tsygan**] says that

$$\mathrm{Tr}(1) = \int_X e^{\omega_{\hbar}/\hbar} \hat{A}(X).$$

I will explain by an example how to use **topological quantum mechanics** to approach such index theorem, making the physics argument into rigorous math realization.

## 2: Batalin-Vilkovisky (BV) Quantization formalism

Homological methods (such as BRST-BV) arises in physics as a general method to quantize theories with gauge symmetries. We want to emphasize the philosophy

Integration

$\implies$

Homology

Observable algebra

$\implies$

Homological algebra

## Calculus $\implies$ BRST-BV

Let  $X$  be a compact oriented manifold of dimension  $n$ . Let  $(\Omega^\bullet(X), d)$  be the **de Rham complex** of smooth differential forms.

$$\int_X : \Omega^\bullet(X) \rightarrow \mathbb{R}, \quad \alpha \in \Omega^n(X) \rightarrow \int_X \alpha \in \mathbb{R}.$$

Observe that  $H_{dR}^n(X) = H^n(\Omega^\bullet(X), d) \simeq \mathbb{R}$ . Hence

$$\boxed{\int = H_{dR}^n} : \Omega^n(X) \rightarrow H_{dR}^n(X) \simeq \mathbb{R}$$
$$\alpha \rightarrow [\alpha].$$

**Question:** how to take  $n \rightarrow \infty$  for  $H_{dR}^n$ ?



## BV approach

Let us consider the smooth **polyvector fields**

$$\text{PV}^\bullet(X) := \Gamma(X, \wedge^\bullet T_X)$$

Let  $\Omega$  be a fixed volume form on  $X$ . It induces identifications

$$\text{PV}^\bullet(X) \xleftarrow{-\lrcorner\Omega} \Omega^{n-\bullet}(X)$$

$\Delta$

$d$

- ▶ The induced differential  $\Delta$  (divergence operator) from the de Rham  $d$  is an example of **BV operator**.
- ▶  $\text{PV}(X)$  carries a shifted Poisson structure (**Schouten–Nijenhuis bracket**). The symbol of  $\Delta$  is the Poisson kernel.

$$\begin{array}{ccc}
 \Omega^0 & \xrightarrow{d} & \dots \\
 \updownarrow & & \\
 PV^n & \xrightarrow{\Delta} & \dots
 \end{array}
 \qquad
 \begin{array}{ccccc}
 \Omega^{n-1} & \xrightarrow{d} & \Omega^n & \xrightarrow{f=H^n} & \mathbb{R} \\
 \updownarrow & & \updownarrow & \nearrow & \\
 PV^1 & \xrightarrow{\Delta} & PV^0 & & \int_{BV}=H^0
 \end{array}$$

The BV philosophy of integration is to consider

$$\int_{BV} : PV^\bullet(X) \rightarrow \mathbb{R}. \quad \boxed{\int_{BV} = H^0.}$$

Remarks:

- ▶ 0 doesn't depend on  $n$ ! Better for  $n \rightarrow \infty$  philosophically.
- ▶ The challenge is to construct  $\Delta$  in the  $\infty$ -dim setting.

# BV algebra

A **Batalin-Vilkovisky** (BV) algebra is a pair  $(\mathcal{A}, \Delta)$  where

- ▶  $\mathcal{A}$  is a  $\mathbb{Z}$ -graded commutative associative unital algebra.
- ▶  $\Delta : \mathcal{A} \rightarrow \mathcal{A}$  is a linear operator of degree 1 such that  $\Delta^2 = 0$ .
- ▶ The **BV bracket**  $\{-, -\} : \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$  by

$$\{a, b\} := \Delta(ab) - (\Delta a)b - (-1)^{|a|} a \Delta b, \quad a, b \in \mathcal{A}.$$

It measures the failure of  $\Delta$  being a derivation.

$\{-, -\}$  satisfies the following graded Leibnitz rule

$$\{a, bc\} := \{a, b\}c + (-1)^{(|a|+1)|b|} b\{a, c\}, \quad a, b, c \in \mathcal{A}.$$

## Example: polyvector fields

The space of smooth polyvector fields with a divergence operator

$$(PV^\bullet(X) = \Gamma(X, \wedge^\bullet T_X), \quad \Delta = \text{divergence})$$

is a BV algebra.

## Quantum master equation

Let  $(C_\bullet, d)$  be a chain complex over  $\mathbb{C}[[\hbar]]$ . A  $\mathbb{C}[[\hbar]]$ -linear map

$$\langle - \rangle : C_\bullet \rightarrow \mathcal{A}((\hbar))$$

is said to satisfy **quantum master equation** (QME) if

$$(d + \hbar \Delta) \langle - \rangle = 0.$$

We will usually have a BV integration (a choice of "gauge fixing")

$$\int_{BV} : \mathcal{A} \rightarrow \mathbb{C}, \quad \int_{BV} \Delta(-) = 0.$$

Then  $\langle - \rangle$  leads to a chain map

$$\int_{BV} \langle - \rangle : C_\bullet \rightarrow \mathbb{C}((\hbar)).$$

## Example of QME

Let  $(C_\bullet, d) = (\mathbb{C}[[\hbar]], 0)$  and  $I = I_0 + I_1\hbar + \dots \in \mathcal{A}[[\hbar]]$ . The  $\hbar$ -linear map (in a suitable sense)

$$c \rightarrow ce^{I/\hbar}, \quad c \in C_\bullet$$

satisfies QME if and only if  $I \in \mathcal{A}[[\hbar]]$  satisfies

$$\hbar\Delta I + \frac{1}{2}\{I, I\} = 0$$

The leading equation (by sending  $\hbar \rightarrow 0$ ) is given by

$$\{I_0, I_0\} = 0.$$

This is called the **classical master equation**.

## Example of BV- $\int$ : Singularity theory

Let  $f(z^i)$  be a polynomial in  $n$  variables with an isolated critical point at the origin. We consider  $(\mathcal{A}, \Delta)$  where

- ▶  $\mathcal{A} = \mathbb{C}[z^i, \theta_i]$ , where  $\theta_i \theta_j = -\theta_j \theta_i$  are anticommuting.
- ▶  $\Delta = \sum_i \frac{\partial}{\partial z^i} \frac{\partial}{\partial \theta_i}$ .
- ▶  $f(z)$  gives a solution of QME in  $\mathcal{A}[[\hbar]]$ .  $\Delta f = \{f, f\} = 0$ .
- ▶ BV integration models the oscillatory integral

$$\int_{BV} \langle \mathcal{O} \rangle = \int_{\mathcal{L}} \mathcal{O} e^{f/\hbar}.$$

- ▶  $\hbar$  is related to Hodge filtration.

QFT can be viewed as a  $\infty$ -dim analogue of Hodge theory.

# BV formalism

Roughly speaking, BV quantization in QFT leads to

- ▶ Factorization algebra  $\text{Obs}$  of observables. [**Costello-Gwilliam**]
- ▶  $(C_\bullet(\text{Obs}), d)$ : a chain complex via algebraic structures of  $\text{Obs}$ .
- ▶ A BV algebra  $(\mathcal{A}, \Delta)$  with a BV- $\int$  map  $\int_{BV} : \mathcal{A} \rightarrow \mathbb{C}$ .
- ▶ A  $\mathbb{C}[[\hbar]]$ -linear map (correlation function),

$$\langle - \rangle : C_\bullet(\text{Obs}) \rightarrow \mathcal{A}((\hbar))$$

satisfies QME , which means it is a chain map

$$(d + \hbar\Delta)\langle - \rangle = 0.$$

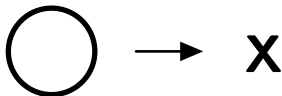
- ▶ Partition function:  $\text{Index} = \int_{BV} \langle 1 \rangle$ .



### 3: Example: Topological Quantum Mechanics (TQM)

One way to formulate TQM is to consider the mapping space

$$\varphi : S_{dR}^1 \rightarrow (X, \omega).$$



Here  $(X, \omega)$  is a symplectic manifold.  $S_{dR}^1$  is the supermanifold

$$S_{dR}^1 = (S^1, \Omega_{S^1}^\bullet)$$

with underlying topology  $S^1$  and the structure ring the sheaf of de Rham complex  $\Omega_{S^1}^\bullet$ .

# Local Model

We first study the **local model**

$$\varphi : S_{dR}^1 \rightarrow \mathbb{R}^{2n}, \quad \omega = \sum_{i=1}^n dp_i \wedge dq^i.$$

Such  $\varphi$  can be represented by  $\varphi = (\mathbb{P}_i, \mathbb{Q}^i)$  where  $\mathbb{P}_i, \mathbb{Q}^i \in \Omega_{S^1}^\bullet$

$$\text{Map}(S_{dR}^1, \mathbb{R}^{2n}) = \Omega_{S^1}^\bullet \otimes \mathbb{R}^{2n}.$$

The action is the free one

$$S_{free}[\varphi] = \int_{S^1} \mathbb{P}_i d\mathbb{Q}^i.$$

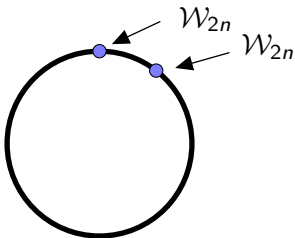
$$\text{Equation of motion} \implies d\mathbb{P}_i = d\mathbb{Q}^i = 0.$$

Local observables on  $S^1$  form the Weyl algebra

$$\mathcal{W}_{2n} = (\mathbb{C}[[p_i, q^i]][[\hbar]], \star)$$

where  $\star$  is the Moyal-Weyl product

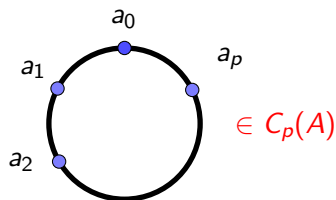
$$(f \star g)(p, q) := f(p, q) e^{\hbar \left( \frac{\overleftarrow{\partial}}{\partial p_i} \frac{\overrightarrow{\partial}}{\partial q^i} - \frac{\overleftarrow{\partial}}{\partial q^i} \frac{\overrightarrow{\partial}}{\partial p_i} \right)} g(p, q).$$



# Hochschild chain complex

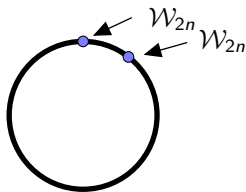
Let  $A$  be an associative algebra and  $\bar{A} := A/\mathbb{C} \cdot 1$ . Define

$$C_p(A) := A \otimes \bar{A}^{\otimes p}, \quad \text{cyclic } p\text{-chains.}$$



It carries a natural Hochschild differential  $b : C_\bullet(A) \rightarrow C_{\bullet-1}(A)$

$$\begin{aligned} & b(a_0 \otimes \cdots \otimes a_p) \\ &= \sum_{i=0}^{p-1} (-1)^i a_0 \otimes \cdots \otimes a_i a_{i+1} \otimes \cdots \otimes a_p + (-1)^p a_p a_0 \otimes \cdots \otimes a_{p-1}. \end{aligned}$$



- ▶ Local observables: **Weyl algebra**

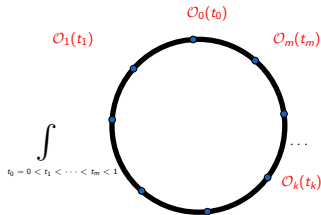
$$\text{Obs}_{1d} = \mathcal{W}_{2n} = (\mathbb{C}[[p_i, q^i]][[\hbar]], \star)$$

- ▶  $(C_\bullet(\text{Obs}_{1d}), b) =$  the **Hochschild chain complex**.
- ▶ BV algebra  $(\mathcal{A}_{1d}, \Delta) = (\widehat{\Omega}^\bullet(\mathbb{R}^{2n}), \mathcal{L}_\Pi)$ . Here  $\Pi =$  Poisson tensor. In physics, this describes the geometry of zero modes.

►  $\langle - \rangle_{1d} : C_\bullet(\mathcal{W}_{2n}) \rightarrow \mathcal{A}_{1d}(\hbar)$  where

$$\langle \mathcal{O}_0 \otimes \mathcal{O}_1 \cdots \otimes \mathcal{O}_m \rangle_{1d} \quad \mathcal{O}_i \in \mathcal{W}_{2n}$$

$$= \left\langle \int_{t_0=0 < t_1 < \cdots < t_m < 1} \mathcal{O}_0(\varphi(t_0)) \mathcal{O}_1(\varphi(t_1)) \cdots \mathcal{O}_m(\varphi(t_m)) \right\rangle_{\text{free}}$$



It satisfies

$$\text{QME} \quad (b + \hbar\Delta)\langle - \rangle_{1d} = 0$$

Here  $b$  is the Hochschild differential.

Ref: [L-Xu-Gui, 2020]

This construction can be glued on a symplectic target  $X$

$$\begin{array}{c} W(X) := Fr(X) \times_{Sp_{2n}} W_{2n} \\ \downarrow \\ X \end{array}$$

which carries a flat connection ([Fedosov connection](#))

$$D = d + \frac{1}{\hbar}[\gamma, -]_{\star}, \quad D^2 = 0.$$

Here  $\gamma \in \Omega^1(X, W(X))$ . Fedosov connection is the geometric interpretation of quantum master equation [**Grady-Li-L** 2017].

$\langle - \rangle_{1d}$  leads to a trace map on deformation quantized algebra, as explicitly described by [**Feigin-Felder-Shoikhet**, 2003].



We can develop the method of exact semi-classical approximation in BV formalism (**Grady-Li-L** 2017; **Gui-L-Xu** 2020) to compute

$$\text{Index} = \text{Tr}(1) = \int_{\mathcal{X}} e^{\omega_{\hbar}/\hbar} \hat{A}(X).$$

This proves the [algebraic index theorem](#) [**Fedosov, Nest-Tsygan**].

## 4: Example: Chiral deformation of 2d Conformal Field Theory

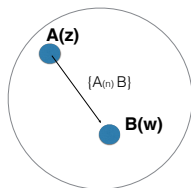
# Vertex operator algebras

A *vertex algebra* is a vector space  $\mathcal{V}$  with the structure of **state-field correspondence** (and other axioms like vacuum, locality, etc.)

$$\mathcal{V} \rightarrow \text{End}(\mathcal{V})[[z, z^{-1}]]$$
$$A \rightarrow A(z) = \sum_n A_{(n)} z^{-n-1}$$

We often write  $Y(A, z)$  for  $A(z)$  for the corresponding operator. It defines the **operator product expansion** (OPE)

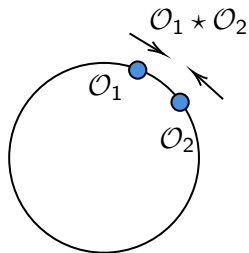
$$A(z)B(w) = \sum_{n \in \mathbb{Z}} \frac{(A_{(n)} \cdot B)(w)}{(z-w)^{n+1}}$$



Free CFT's give rise to examples of vertex algebras  $\mathcal{V}$ .

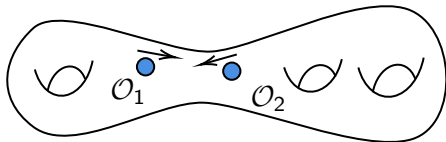
1d TQM	2d Chiral CFT
$S^1$	$\Sigma$
Associative algebra	Vertex operator algebra

Associative product



Operator product expansion

$$\mathcal{O}_1(z)\mathcal{O}_2(w) \sim \sum_n \frac{\mathcal{O}_{1(n)}\mathcal{O}_2(w)}{(z-w)^{n+1}}$$



A chiral  $\sigma$ -model

$$\varphi : \Sigma \rightarrow X$$

will produce a bundle  $\mathcal{V}(X)$  of chiral vertex operator algebras

$$\begin{array}{c} \mathcal{V}(X) \\ \downarrow \\ X \end{array}$$

This is the [chiral analogue of Weyl bundle](#) in TQM.

## Theorem (L, 2016)

The *quantization* of the 2d chiral model is equivalent to solving a flat connection on the vertex algebra bundle  $\mathcal{V}(X)$

$$D = d + \frac{1}{\hbar} \left[ \oint \mathcal{L}, - \right], \quad D^2 = 0$$

where  $\mathcal{L} \in \Omega^1(X, \mathcal{V}(X))$  and  $\oint \mathcal{L}$  is the associated chiral vertex operator fiberwise.

- ▶ This is the *chiral analogue of Fedosov connection*.
- ▶ The quantization is formulated in the *BV formalism*.
- ▶ *BRST reduction* of chiral models falls into this setup

$$\oint \mathcal{L} = \text{BRST operator.}$$

**Ref:** [L, 2016] Vertex algebras and quantum master equation.